
UNSTABLE OPERATIONS IN HOMOTOPY K-THEORY

by

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1. Homotopy K-theory via cdh-descent

1.1. — Let k be a field of characteristic zero. We denote by Sm/k and Sch/k the categories of smooth k -schemes and of k -schemes of finite type, respectively. We will write $\mathcal{E}(k)$ for the topos of Nisnevich sheaves on Sm/k , and $\underline{\mathcal{E}}(k)$ for the topos of Nisnevich sheaves on Sch/k . Finally, $\mathcal{E}_{\text{cdh}}(k)$ stands for the topos of sheaves on Sch/k with respect to the cdh-topology. Note that, by Hironaka's resolution of singularity theorem, the cdh-topology is also well defined on Sm/k , and $\mathcal{E}_{\text{cdh}}(k)$ is canonically equivalent to the category of cdh-sheaves on Sm/k .

1.2. — Given a topos \mathcal{E} , we denote by $\mathcal{H}_s(\mathcal{E})$ the homotopy category of the Joyal-Jardine model category of simplicial sheaves on \mathcal{E} . If I is an interval of \mathcal{E} , then we denote by $\mathcal{H}(I)$ the homotopy category of the Morel-Voevodsky model category structure on simplicial sheaves on \mathcal{E} with respect to the interval I (the notation leaves out I because, in practice, at least in what follows, it will always be the same, namely $I = \mathbf{A}^1$).

1.3. — Let K be the object of $\mathcal{H}_s(\underline{\mathcal{E}}(k))$ which represents algebraic K-theory of k -schemes of finite type: for a k -scheme X , we have a natural isomorphism in the homotopy category of simplicial sets

$$\mathbf{R}Map(X, K) = \mathbf{R}\Omega(wS(\text{Perf}(X))),$$

where the right hand side is Thomason-Trobaugh's version of algebraic K-theory. The fact that K is indeed an object of $\mathcal{H}_s(\underline{\mathcal{E}}(k))$ is a reformulation of the fact that algebraic K-theory satisfies Nisnevich descent.

Note that the cdh-sheafification functor $\underline{\mathcal{E}}(k) \rightarrow \mathcal{E}_{\text{cdh}}(k)$ induces a left Quillen functor which preserves weak equivalences, and thus defines a functor

$$\mathcal{H}_s(\underline{\mathcal{E}}(k)) \rightarrow \mathcal{H}_s(\mathcal{E}_{\text{cdh}}(k)) \quad , \quad F \mapsto F_{\text{cdh}}.$$

In particular, cdh-sheafifying algebraic K-theory defines an object K_{cdh} in $\mathcal{H}_s(\mathcal{E}_{\text{cdh}}(k))$. On the other hand, by virtue of a theorem of Haesemeyer, homotopy K-theory satisfies cdh-descent over Sch/k , which means that the homotopy K-theory simplicial presheaf $\Omega^\infty(\text{KH})$ may be viewed as an object of $\mathcal{H}_s(\mathcal{E}_{\text{cdh}}(k))$ (where $\text{KH}(X)$ denotes the homotopy K-theory spectrum of X and Ω^∞ stands for the infinite loop space functor; in some sense, $\Omega^\infty(\text{KH})$ is thus a truncated version of usual homotopy K-theory).

Theorem 1.4. — *There is a canonical isomorphism $K_{\text{cdh}} \simeq \Omega^\infty(\text{KH})$ in $\mathcal{H}_s(\mathcal{E}_{\text{cdh}}(k))$.*

Proof. — By construction of KH , there is a canonical morphism of simplicial presheaves $K \rightarrow \Omega^\infty(\text{KH})$ over Sch/k . As KH satisfies cdh-descent, this map defines by adjunction a morphism $K_{\text{cdh}} \rightarrow \Omega^\infty(\text{KH})$ in $\mathcal{H}_s(\mathcal{E}_{\text{cdh}}(k))$. To prove that the latter is an isomorphism, as smooth k -schemes are generators of $\mathcal{H}_s(\mathcal{E}_{\text{cdh}}(k))$, it is sufficient to prove that, for any smooth k -scheme X , the induced map

$$\mathbf{RMap}(X, K_{\text{cdh}}) \rightarrow \mathbf{RMap}(X, \Omega^\infty(\text{KH})) \simeq \Omega^\infty(\text{KH}(X))$$

is an isomorphism in the homotopy category of spaces. But we know that K and $\Omega^\infty(\text{KH})$ agree on smooth k -schemes, so that, as KH satisfies cdh-descent, the restriction of K on Sm/k satisfies cdh-descent as well. In other words, for any smooth k -scheme X , the natural map

$$K(X) \simeq \mathbf{RMap}(X, K) \rightarrow \mathbf{RMap}(X, K_{\text{cdh}})$$

is an isomorphism. As the map $K(X) \rightarrow \Omega^\infty(\text{KH}(X))$ is an isomorphism as well, this achieves the proof. \square

2. Unstable operations on K-theories

Here, we will interpret Riou's classification of unstable operations for algebraic K-theory of smooth k -schemes in several directions.

2.1. — Let $i : Sm/k \rightarrow Sch/k$ be the inclusion functor. We write $i^* : \mathcal{E}(k) \rightarrow \mathcal{E}(k)$ for the corresponding restriction functor, and $i_{\#}$ for its left adjoint (so that $i_{\#}(X) = X$ for any smooth k -scheme X). The pair $(i_{\#}, i^*)$ induces a derived adjunction

$$\mathbf{L}i_{\#} : \mathcal{H}_s(\mathcal{E}(k)) \rightleftarrows \mathcal{H}_s(\mathcal{E}(k)) : i^*$$

as well as an \mathbf{A}^1 -version

$$\mathbf{L}i_{\#} : \mathcal{H}(\mathcal{E}(k)) \rightleftarrows \mathcal{H}(\mathcal{E}(k)) : i^*$$

(note that i^* does not need to be derived because it preserves weak equivalences on the nose). In either case, the functor $\mathbf{L}i_{\#}$ is fully faithful, because we have the formula

$$1 \simeq i^* \mathbf{L}i_{\#}.$$

Moreover the functor $\mathbf{L}i_{\#}$ preserves finite products. This implies that it is compatible with the plus construction. Moreover, $i^*(K)$ represents algebraic K-theory of smooth k -schemes. In other words, we have

$$\mathbf{L}i_{\#} i^*(K) \simeq \mathbf{L}i_{\#}((\mathbf{Z} \times \text{BGL})^+) \simeq (\mathbf{Z} \times \mathbf{L}i_{\#}(\text{BGL}))^+ \simeq (\mathbf{Z} \times \text{BGL})^+ \simeq K$$

This implies right away the following little computation.

Proposition 2.2. — *For any natural number $n \geq 0$, there is a natural isomorphism*

$$\mathbf{RMap}(\mathbf{K}^n, \mathbf{K}) \simeq \mathbf{RMap}(i^*(\mathbf{K})^n, i^*(\mathbf{K}))$$

in the homotopy category of spaces.

This means that unstable cohomological operations on algebraic K-theory of k -schemes of finite type are completely characterized by cohomological operations for smooth k -schemes. Therefore, we can use Riou's results as follows⁽¹⁾.

Theorem 2.3. — *For any integer $n \geq 0$ and any natural map*

$$\alpha : \mathbf{K}_o(X)^n \rightarrow \mathbf{K}_o(X)$$

defined for smooth k -schemes X , there is a unique morphism

$$\bar{\alpha} : \mathbf{K}^n \rightarrow \mathbf{K}$$

in $\mathcal{H}_s(\mathcal{E}(k))$ which lifts α .

Proof. — By virtue of the preceding proposition, it is sufficient to promote α as a morphism $i^*(\mathbf{K})^n \rightarrow i^*(\mathbf{K})$ in $\mathcal{H}_s(\mathcal{E}(k))$. But, as $i^*(\mathbf{K})$ is homotopy invariant, it is sufficient to understand the morphisms from $i^*(\mathbf{K})^n$ to $i^*(\mathbf{K})$ in the homotopy category of schemes $\mathcal{H}(\mathcal{E}(k))$. By virtue of Riou's theorem, these correspond precisely to the natural transformations $\mathbf{K}_o^n \rightarrow \mathbf{K}_o$ defined on smooth k -schemes. \square

Proposition 2.4. — *For any integer $n \geq 0$, there is a natural isomorphism*

$$\mathbf{RMap}(\Omega^\infty(\mathbf{KH})^n, \Omega^\infty(\mathbf{KH})) \simeq \mathbf{RMap}(i^*(\mathbf{K})^n, i^*(\mathbf{K}))$$

in the homotopy category of spaces.

Proof. — By virtue of Theorem 1.4, we have a natural isomorphism

$$\mathbf{RMap}(\Omega^\infty(\mathbf{KH})^n, \Omega^\infty(\mathbf{KH})) \simeq \mathbf{RMap}(\mathbf{K}_{\text{cdh}}^n, \Omega^\infty(\mathbf{KH})),$$

and, as \mathbf{KH} satisfies cohomological cdh-descent, we also have a natural isomorphism

$$\mathbf{RMap}(\mathbf{K}_{\text{cdh}}^n, \Omega^\infty(\mathbf{KH})) \simeq \mathbf{RMap}(\mathbf{K}^n, \Omega^\infty(\mathbf{KH})).$$

Therefore, by virtue of Proposition 2.2, it is sufficient to prove that the canonical map

$$\mathbf{RMap}(\mathbf{K}^n, \mathbf{K}) \rightarrow \mathbf{RMap}(\mathbf{K}^n, \Omega^\infty(\mathbf{KH}))$$

is an isomorphism. Using the identification $\mathbf{L}_{\sharp} i^*(\mathbf{K}) \simeq \mathbf{K}$, we thus have to prove that the map

$$\mathbf{RMap}(i^*\mathbf{K}^n, i^*\mathbf{K}) \rightarrow \mathbf{RMap}(i^*\mathbf{K}^n, i^*\Omega^\infty(\mathbf{KH}))$$

is invertible. But this comes from the well known fact that $i^*\mathbf{K} \rightarrow i^*\Omega^\infty(\mathbf{KH})$ is an isomorphism in $\mathcal{H}_s(\mathcal{E}(k))$. \square

⁽¹⁾For this part, we don't need k to be a field of characteristic zero; being a regular noetherian ring is sufficient, for instance.

Corollary 2.5. — *Let $n \geq 0$ be an integer and*

$$\alpha : K_o(X)^n \rightarrow K_o(X)$$

be a natural morphism defined for any smooth k -scheme X . Then there is a unique morphism $\bar{\alpha} : K^n \rightarrow K$ and a unique morphism $\tilde{\alpha} : \Omega^\infty(KH)^n \rightarrow \Omega^\infty(KH)$ in $\mathcal{H}_s(\underline{\mathcal{E}}(k))$ which both lift α . Furthermore, the canonical morphism $c : K \rightarrow \Omega^\infty(KH)$ then gives a commutative square

$$\begin{array}{ccc} K^n & \xrightarrow{c^n} & \Omega^\infty(KH)^n \\ \bar{\alpha} \downarrow & & \downarrow \tilde{\alpha} \\ K & \xrightarrow{c} & \Omega^\infty(KH) \end{array}$$

in the homotopy category $\mathcal{H}_s(\underline{\mathcal{E}}(k))$.

Proof. — The existence of $\bar{\alpha}$ comes from Theorem 2.3, and another use of the same theorem together with the previous proposition gives $\tilde{\alpha}$. To check that the square above commutes, it is sufficient to notice that

$$\mathbf{RMap}(K^n, \Omega^\infty(KH)) \simeq \mathbf{RMap}(K_{\text{cdh}}^n, \Omega^\infty(KH)) \simeq \mathbf{RMap}(\Omega^\infty(KH)^n, \Omega^\infty(KH))$$

and to use Theorem 2.3 as well as Proposition 2.4 once again. \square